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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9661-0024-999 Total Pages 38

First Named Inventor or Application Identifier

Man Hay PONG

Express Mail Label No. EL 477 032 796 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form [Total Pages 2]  
Submit an original, and a duplicate for fee processing)

2. ☒ Applicant claims Small Entity status, see 37 C.F.R. § 1.27

3. ☒ Specification [Total Pages 19]

(preferred arrangement set forth below)

-Descriptive title of the Invention

-Cross Reference to Related Applications

-Statement Regarding Fed sponsored R&D

-Reference to Microfiche Appendix

-Background of the Invention

-Brief Summary of the Invention

-Brief Description of the Drawings (if filed)

-Detailed Description of the Invention (including drawings, if filed)

-Claim(s)

-Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Figs. 1-15] [Total Sheets 15]

5. ☒ Oath or Declaration [Total Sheets 2]

☒ Newly executed (original or copy)

☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 19 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed

## 19. CORRESPONDENCE ADDRESS

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**PENNIE & EDMONDS** LLP  
 COUNSELLORS AT LAW  
 1155 Avenue of Americas  
 New York, N.Y. 10036-2711

ATTORNEY DOCKET NO. 9661-0024-999Date: December 14, 2001

Assistant Commissioner for Patents  
 Box PATENT APPLICATION  
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Man Hay PONG; Franki Ngai Kit POON; Joe Chui      Executed on: December 10, 2001  
 Pong LIU

Title of Invention: HIGH EFFICIENCY DRIVER FOR COLOR LIGHT EMITTING DIODES (LED)

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	10	-20	0	\$18.00 each	\$ 0.00
Independent	5	-3	2	\$84.00 each	\$ 168.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
<b>Total</b>					\$ 908.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 454.00
<b>Total Filing Fee</b>					\$ 454.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☐ Priority of application no. filed on in is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie &amp; Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

  
 Charles E. Miller

PENNIE &amp; EDMONDS LLP

 24,576  
 (Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.